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## EUROPEAN PATENT APPLICATION

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- Furuya, Tittany S.  
Los Angeles, California 90066 (US)
- Hilmes, Philip S.  
Santa Monica, California 90405 (US)
- Santoru, Joseph  
Agoura Hills, California 91301 (US)

(30) Priority: 05.02.2002 US 68039

(71) Applicant: Hughes Electronics Corporation  
El Segundo, California 90245-0956 (US)

(74) Representative: Grünecker, Kinkeldey,  
Stockmair & Schwanhäusser Anwaltssozietät  
Maximilianstrasse 58  
80538 München (DE)

(72) Inventors:  
• Chen, Ernest C.  
San Pedro, California 90732 (US)

(54) Preprocessing of the superimposed signals in a layered modulation digital transmission scheme to use legacy receivers

(57) Pre-processing of received signals for a layered transmission scheme is disclosed in which different data (400A,400B) is transmitted in the same frequency band from separate incoherent transmitters possibly located at different locations. This scheme permits a backward compatible upgrade of an existing system. The different data streams (400A,400B) are transmitted at dif-

ferent power levels, that is power division multiplexing is used. At the receiver side, the stronger upper layer signal is directly fed to a legacy receiver (412A), whereas the weaker lower layer signal is pre-processed (416) before being fed to another legacy receiver (412B). Pre-processing is done similar to a multistage receiver, which performs successive cancellation in order to extract each of the data streams.

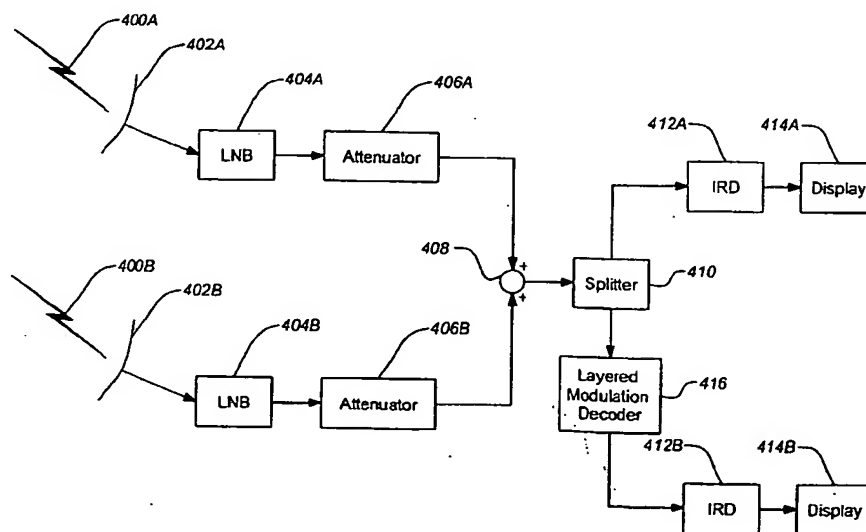


FIG. 4

## Description

### CROSS-REFERENCE TO RELATED APPLICATIONS

[0001] This continuation-in-part application claims priority under 35 U.S.C. §120 from United States Patent Application No. XXXXX, filed XXXXX, and entitled "LAYERED MODULATION FOR DIGITAL SIGNALS" and from United States Patent Application No. XXXXX, filed XXXXX, and entitled "DUAL LAYER SIGNAL PROCESSING IN A LAYERED MODULATION DIGITAL SIGNAL SYSTEM", which are both incorporated by reference herein.

### BACKGROUND OF THE INVENTION

#### 1. Field of the Invention.

[0002] The present invention relates generally to systems for receiving digital signals, and in particular, to systems for receiving layered modulation in digital signals.

#### 2. Description of the Related Art.

[0003] As various digital signal communication systems and services evolve, there is a burgeoning demand for increased data throughput and added services. However, it is more difficult to implement improvements in old systems and new services when it is necessary to replace existing legacy hardware, such as transmitters and receivers. New systems and services are advantaged when they can utilize existing legacy hardware. In the realm of wireless communications, this principle is further highlighted by the limited availability of electromagnetic spectrum. Thus, it is not possible (or at least not practical) to merely transmit enhanced or additional data at a new frequency.

[0004] The conventional method of increasing spectral capacity is to move to a higher-order modulation, such as from quadrature phase shift keying (QPSK) to eight phase shift keying (8PSK) or sixteen quadrature amplitude modulation (16QAM). Unfortunately, QPSK receivers cannot demodulate 8PSK or 16QAM signals. As a result, legacy customers with QPSK receivers must upgrade their receivers in order to continue to receive any signals transmitted with an 8PSK or 16QAM modulation.

[0005] Layered modulation enables systems and methods of transmitting signals to accommodate enhanced and increased data throughput without requiring additional frequency bands. Systems using layered modulation can provide enhanced and increased throughput signals for new receivers while remaining compatible with legacy receivers. Newer layered modulation techniques (such as detailed in United States Patent Application No. XXXXX, filed XXXXX, and entitled "LAYERED MODULATION FOR DIGITAL SIG-

NALS) also provide the unique advantage of allowing transmission signals to be upgraded from a source separate from the legacy transmitter. In other words, the layered signals can be asynchronous and/or non-coherent.

[0006] Related receiver systems for layered signals have also been described, such as those found in United States Patent No. 4,039,961, which is incorporated by reference herein. However, such receiver systems are based on analog circuits, synchronized by a voltage control oscillator. In addition, such receiver systems are limited because they are designed to only receive coherent layered signals, i.e. signals that are synchronously produced.

[0007] Accommodating legacy receivers is also an important consideration when layered modulation is employed to enhance a preexisting system. Although proper design of the layered modulation signal can enable legacy receivers to receive legacy layers of the signal, the new signal layers will not be accessible by legacy receivers. In addition, it may not always be possible (or preferable) to accommodate the legacy receivers in designing the new layered modulation signal. In which case, the legacy receivers would be rendered incompatible with the new layered modulation signal.

[0008] There is a need for systems and methods for receiving and processing the layered modulation signals. There is also a need for systems and methods to enable legacy receivers to receive all layers of the layered signal. There is further a need for systems and methods which enable legacy receivers to be operable if the layered modulation signal is otherwise incompatible with the legacy receiver. The present invention meets these needs.

### SUMMARY OF THE INVENTION

[0009] The present invention provides a flexible and expandable apparatus that can be implemented with high speed logic circuit technology capable of performing demodulator functions and processing of received layered modulation signals in real-time. The invention utilizes high speed digitization of the incoming signal to prepare it for further high-speed digital processing. The invention enables a receive system architecture wherein the incoming signal is split and separately directed to distinct integrated receiver/decoders (IRDs). The system facilitates compatibility with legacy IRDs. One legacy IRD can be used to receive the upper modulation layer as it would receive a conventional unlayered signal. In this IRD the lower modulation layer is ignored as noise. A second legacy IRD receives a signal that has been preprocessed to extract and convert the lower modulation signal to a legacy IRD compatible signal.

[0010] An exemplary apparatus comprises a tuner for receiving a layered signal and producing a layered in-phase signal and a layered quadrature signal therefrom, an analog-to-digital converter for digitizing the layered in-phase signal and the layered quadrature signal, a

processor for decoding the layered in-phase signal and the layered quadrature signal to produce a single layer in-phase signal and a single layer quadrature signal, a digital-to-analog encoder for converting the single layer in-phase signal and the single layer quadrature signal to a single layer in-phase analog signal and a single layer quadrature analog signal and a modulator for modulating the single layer in-phase analog signal and the single layer quadrature analog signal to produce a single layer signal.

**[0011]** Preferably, the layered signal is designed to be compatible with a legacy receiver such that at least one signal layer is decodeable directly from the layered signal with the legacy receiver. The apparatus produces a single layer signal that is also decodeable with a legacy receiver.

**[0012]** To facilitate high speed signal processing, the processor can comprise a logic circuit. Decoding by the processor can start with match filtering the layered in-phase signal and the layered quadrature signal.

**[0013]** In one embodiment, the processor demodulates and decodes an upper layer signal from the layered in-phase signal and the layered quadrature signal. The processor further produces an ideal noise free upper layer signal including an ideal in-phase upper layer signal and an ideal quadrature upper layer signal from the decoded upper layer signal and subtracts the ideal in-phase upper layer signal and the ideal quadrature upper layer signal from the layered in-phase signal and the layered quadrature signal, respectively, to produce the single lower layer in-phase signal and the single lower layer quadrature signal. In a further embodiment, the layered in-phase signal and the layered quadrature signal are delayed to synchronize the subtraction.

**[0014]** In other embodiments, producing the ideal upper layer signal comprises signal processing the ideal in-phase upper layer signal and the ideal quadrature upper layer signal. Signal processing the ideal upper layer can include many elements, including pulse shaping the ideal in-phase upper layer signal and the ideal quadrature upper layer signal. Signal mapping to account for transmission distortions of the layered analog signal can also be applied to the ideal in-phase upper layer signal and the ideal quadrature upper layer signal. The ideal upper layer signal can also be processed by amplitude and phase matching with the layered signal to improve signal subtraction results.

#### BRIEF DESCRIPTION OF THE DRAWINGS

**[0015]** Referring now to the drawings in which like reference numbers represent corresponding parts throughout:

**[0016]** FIGS. 1A - 1C illustrate the relationship of signal layers in a layered modulation transmission;

**[0017]** FIGS. 2A - 2C illustrate a signal constellation of a second transmission layer over a first transmission layer non-coherently;

**[0018]** FIG. 3 is a block diagram for a typical transmission system for a receiver of the invention;

**[0019]** FIG. 4 is a block diagram of a receiving architecture of the invention;

**[0020]** FIG. 5 is a block diagram of a layered modulation decoder of the invention; and

**[0021]** FIG. 6 is a method of a layered modulation decoding according to the invention.

#### DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

**[0022]** In the following description, reference is made to the accompanying drawings which form a part hereof, and which show, by way of illustration, several embodiments of the present invention. It is understood that other embodiments may be utilized and structural changes may be made without departing from the scope of the present invention.

#### 1. OVERVIEW

**[0023]** The present invention provides for the reception of non-coherent legacy layered modulation signals using legacy receivers. The signal layers can be independently modulated and coded. Signal layers which are otherwise incompatible with the legacy receiver are preprocessed in a layered modulation decoder to convert them to a compatible format. Thus, all layers of the layered modulation signal can be received by splitting the incoming signal and directing it to different legacy receivers, preprocessing as necessary to extract the desired layer and present it in a compatible format. Preferably, at least one layer of the signal is compatible with a legacy receiver without being preprocessed.

#### 2. LAYERED SIGNALS

**[0024]** FIGS. 1A - 1C and FIGS. 2A - 2C illustrate and QPSK signal format in a two-layer example. FIGS. 1A - 1C illustrate the basic relationship of signal layers in a layered modulation transmission. FIG. 1A illustrates an upper layer signal constellation 100 of a transmission signal showing the signal points or symbols 102. FIG. 1B illustrates the lower layer signal constellation of symbols 104 over the upper layer signal constellation 100 where the layers are coherent. FIG. 1C illustrates a lower signal layer 106 of a lower transmission layer over the upper layer constellation where the layers may be non-coherent. The lower layer 106 rotates about the upper layer constellation 102 due to the relative modulating frequencies of the two layers in a non-coherent transmission. Both the upper and lower layers rotate about the origin due to the upper layer modulation frequency as described by path 108.

**[0025]** FIGS. 2A - 2C illustrate a signal constellation of a lower transmission layer over the upper transmission layer after upper layer demodulation. FIG. 2A

shows the constellation 200 before the upper carrier recovery loop (CRL) and FIG. 2B shows the constellation 200 after CRL. In this case, the signal points of the lower layer are rings 202. FIG. 2C depicts a phase distribution of the received signal with respect to nodes 102. As mentioned above, relative modulating frequencies cause the lower layer constellation to rotate around the nodes of the upper layer constellation. After the lower layer CRL this rotation is eliminated. The radius of the lower layer constellation is determined by its power level. The thickness of the rings 202 is determined by the carrier to noise ratio (CNR) of the lower layer.

[0026] FIG. 3 is a block diagram for a typical system 300 of transmitting and receiving layered signals. Separate transmitters 316A, 316B, as may be located on any suitable platform, such as satellites 306A, 306B, are used to non-coherently transmit different layers of a signal of the present invention. It is noted that the transmitters may also be positioned on the same platform. Uplink signals are typically transmitted to each satellite 306A, 306B from one or more transmit stations 304 via an antenna 302. The layered signals 308A, 308B (downlink signals) are received at receiver antennas 312, 320 (which can alternately be a single antenna), such as satellite dishes, each with a low noise block (LNB) 310, 318 (which can likewise be a single LNB) where they are then coupled to legacy integrated receiver/decoders (IRDs) 322. One of the layered signals 308A can be distinguished and processed directly by the legacy IRD 322. Note that one satellite dish with one LNB can also be used to receive both the upper and lower layers.

[0027] With the invention, one legacy IRD 314 has the received layered signals 308A, 308B preprocessed in the layered modulation decoder 324 to separate and convert one of the layered signals 308B to a format compatible with the legacy IRDs 314, 322. It should be noted that antennas 312, 320 can each comprise more than one directional receiving dish to receive layered signals 308A, 308B from separate satellites as will be detailed in the receiver system described hereafter.

[0028] In addition, because the signal layers may be transmitted non-coherently, separate transmission layers may be added at any time using different satellites 306A, 306B or other suitable platforms, such as ground based or high altitude platforms. Thus, any composite signal, including new additional signal layers will be backwards compatible with legacy receivers which will disregard the new signal layers. To ensure that the signals are distinguishable, the combined signal and noise level for the lower layer must be at or below the allowed noise floor for the upper layer. Alternate receiver systems employing the invention described here can be constructed to decode signals having more than two signal layers.

### 3. RECEIVER SYSTEM

[0029] FIG. 4 is a block diagram of a receiving archi-

ture for demonstrating the invention method. Emulated layered signals 400A, 400B are received by receiving dishes 402A, 402B (which can alternately be combined in a single dish with a single LNB). The signals 400A, 400B can each be transmitted by distinct transmitters from a single or separate satellites, but they exist in interfering frequency bands, e.g. 12.5 GHz. The received layered signals 400A, 400B are then directed through respective low noise blocks (LNBs) 404A, 404B and attenuators 406A, 406B. The LNBs 404A, 404B convert each of the received layered signals 400A, 400B to an intermediate frequency range, e.g. 950-1450 MHz. The layered signals are combined at the summation block 408, with their relative power levels adjusted by the attenuators 406A, 406B.

[0030] It should be noted that the details regarding the reception of the layered signal up to the summation block 408 are not critical to the operation of the invention and shown only as one example. Many designs are possible. For example, as previously mentioned, the same receiver dish can be used for both layered signals 400A, 400B. The result of two acceptably interfering layered signals on the same input is the only requirement.

[0031] The combined layered signals 400A, 400B can then be split at splitter 410 to direct the layered signal to alternate legacy IRDs 412A, 412B. One of the legacy IRDs 412A demodulates and decodes the upper layer signal of the signals 400A, 400B and ignores the other as noise. The decoded upper layer signal is then delivered to a display 414A. The other legacy IRD 412B has the layered signals 400A, 400B preprocessed by a layered modulation decoder 416 such that the lower layer signal of the signals 400A, 400B is converted to a signal compatible with the other legacy IRD 412B (and the upper layer signal of the signals 400A, 400B is effectively filtered out). The converted lower layer signal is then demodulated and decoded by the other legacy IRD 412B and the result delivered to a display 414B. Of course, alternate architectures can employ a single display switched between signals from the separate IRDs 412A, 412B.

### 4. LAYERED MODULATION DECODER

[0032] FIG. 5 is a block diagram of a layered modulation decoder 416 of the invention. The layered modulation decoder 416 preprocesses an incoming layered signal to extract a lower layer signal and convert it to a signal that is decodable by a legacy receiver, as previously discussed.

[0033] After the splitter 440, the incoming layered signal is upper tuned to convert it to a baseband in-phase (I) and quadrature (Q) signal by tuner 500. The separate signals can then be filtered by a low pass filter 502 in preparation for digitizing. The signals are then digitized at a high sampling rate and sufficient resolution by an analog-to-digital converter (ADC) 504. A dual channel ADC 504 or separate ADCs can be used for the sepa-

rate in-phase and quadrature signals. The digitized signals are then communicated to a processor 506.

[0034] The processor 506 for extracting a lower layer signal can be implemented as a logic circuit. The entering digitized in-phase and quadrature signals can be first split into two paths that will become the upper layer and composite layered signals. On the signal path for the upper layer, the in-phase and quadrature signals can first be passed through a frequency acquisition loop 508. The can then be filtered through a finite impulse response (FIR) matched filter 510. A demodulator 512 demodulates the signals, using carrier and timing recovery loops to produce demodulated layered in-phase and quadrature signals. The demodulated signals are then decoded by decoder 514 which can incorporate Viterbi decoding, deinterleaving and Reed-Solomon (RS) decoding functions as appropriate to accurately determine the upper layer symbols. The decoded upper layer symbols are then applied to an encoder 516 in order to produce an ideal upper layer signal (i.e. an upper layer signal transmitted without the noise and/or interference of the lower layer signal). The encoded signal emerges again as in-phase and quadrature signal components. A variety of signal processing techniques can be applied to these signals to produce the ideal upper layer.

[0035] The ideal upper layer signal can be filtered through an FIR matched filter 518. Characteristics of the transmission (e.g. amplifier nonlinearities, etc.) can be accounted for by signal maps 520, such as an amplitude modulation to amplitude modulation (AM/AM) map and/or an amplitude modulation to phase modulation map (AM/PM). These signal maps 520 can be updated to account for changes in the transmission characteristics of the satellite. The signal maps 520 are applied 522 to the encoded signals to simulate downlink transmission of an upper layer signal. Similarly, an additional FIR matched filter 526 can also be applied after accounting for transmission characteristics 522. In addition, an upper layer amplitude and phase matching function 528, driven by the demodulated layered signal and the ideal reconstructed upper layer signal, can also be used to generate matching coefficients. The matching coefficients are applied 524 to the reconstructed upper layer signal to ensure that it is appropriately scaled in magnitude and rotated in phase as compared to the layered signal, for maximum cancellation in the final signal subtraction.

[0036] Ultimately, the ideal reconstructed in-phase and quadrature signals for the upper layer are subtracted from the layered in-phase and quadrature signals that are produced by the demodulator at a subtractor 538. A timing and phase compensation function 532 is applied to the second layered path entering the processor 506, using information from the demodulator 512. A fixed delay 534 can be applied to the second layered signal path to determine the appropriate delay to align the layered and ideal signals to generate matching coefficients 528. The delayed layered signal is split and in

one path, an FIR matched filter 530 can be applied to it before generating matching coefficients 528. The second delayed layered signal path is delayed again 536 to align it appropriately with the ideal upper layer signal for subtraction 538. The results of the subtraction are the in-phase and quadrature signals of the lower layer.

[0037] The in-phase and quadrature signals of the lower layer, output from the subtractor 538, are first converted to analog signals in an digital-to-analog converter (DAC) 540. The DAC essentially reverses the prior digitizing and therefore may use the same sampling rate and resolution. Following this, the analog form signals can be filtered by a low pass filter 542 and passed to a modulator 544 (e.g. a QPSK modulator) to produce the lower layer signal in a format for a legacy receiver to decode, as the output of the processor 416.

[0038] FIG. 6 describes a method of a layered modulation decoding according to the invention. A layered signal is received a layered in-phase signal and a layered quadrature signal are produced from it at block 600. Next, the layered in-phase signal and the layered quadrature signal are digitized at block 602. At block 604, the layered in-phase signal and the layered quadrature signal are decoded to produce a single layer in-phase signal and a single layer quadrature signal. Then at block 606, the single layer in-phase signal and the single layer quadrature signal are converted to a single layer in-phase analog signal and a single layer quadrature analog signal. Finally, at block 608 the single layer in-phase analog signal and the single layer quadrature analog signal are modulated to produce a single layer signal.

## CONCLUSION

[0039] The foregoing description including the preferred embodiment of the invention has been presented for the purposes of illustration and description. It is not intended to be exhaustive or to limit the invention to the precise form disclosed. Many modifications and variations are possible in light of the above teaching. It is intended that the scope of the invention be limited not by this detailed description, but rather by the claims appended hereto. The above specification, examples and data provide a complete description of the manufacture and use of the invention. Since many embodiments of the invention can be made without departing from the scope of the invention, the invention resides in the claims hereinafter appended.

## Claims

1. An apparatus for receiving a non-coherent layered modulation signal, comprising:

a tuner (500) for receiving a layered signal and producing a layered in-phase signal and a lay-

- ered quadrature signal therefrom;  
 an analog-to-digital converter (504) for digitizing the layered in-phase signal and the layered quadrature signal;  
 a processor (506) for decoding the layered in-phase signal and the layered quadrature signal to produce a single layer in-phase signal and a single layer quadrature signal;  
 a digital-to-analog encoder (540) for converting the single layer in-phase signal and the single layer quadrature signal to a single layer in-phase analog signal and a single layer quadrature analog signal; and  
 a modulator (544) for modulating the single layer in-phase analog signal and the single layer quadrature analog signal to produce a single layer signal.
2. The apparatus of Claim 1, wherein decoding by the processor comprises match filtering (510) the layered in-phase signal and the layered quadrature signal.
  3. The apparatus of Claim 1, wherein the processor demodulates (512) and decodes (514) an upper layer signal from the layered in-phase signal and the layered quadrature signal.
  4. The apparatus of Claim 3, wherein the processor produces an ideal upper layer signal including an ideal in-phase upper layer signal and an ideal quadrature upper layer signal from the decoded upper layer signal and subtracts (538) the ideal in-phase upper layer signal and the ideal quadrature upper layer signal from the layered in-phase signal and the layered quadrature signal, respectively, to produce the single layer in-phase signal and the single layer quadrature signal.
  5. The apparatus of Claim 4, wherein the layered in-phase signal and the layered quadrature signal are delayed (534, 536) to synchronize the subtraction.
  6. The apparatus of Claim 4, wherein producing the ideal upper layer signal comprises signal processing the ideal in-phase upper layer signal and the ideal quadrature upper layer signal.
  7. The apparatus of Claim 6, wherein signal processing the ideal in-phase upper layer signal and the ideal quadrature upper layer signal comprises applying a signal map (520, 522) to the ideal in-phase upper layer signal and the ideal quadrature upper layer signal, the signal map accounting for transmission distortions of the layered signal.
  8. The apparatus of Claim 6, wherein signal processing the ideal in-phase upper layer signal and the ideal quadrature upper layer signal comprises applying amplitude and phase matching (528) the ideal in-phase upper layer signal and the ideal quadrature upper layer signal with the layered in-phase signal and the layered quadrature signal, respectively.
  9. A method of receiving a non-coherent layered modulation signal, comprising the steps of:
    - receiving (600) a layered signal and producing a layered in-phase signal and a layered quadrature signal therefrom;
    - digitizing (602) the layered in-phase signal and the layered quadrature signal;
    - decoding (604) the layered in-phase signal and the layered quadrature signal to produce a single layer in-phase signal and a single layer quadrature signal;
    - converting (606) the single layer in-phase signal and the single layer quadrature signal to a single layer in-phase analog signal and a single layer quadrature analog signal; and
    - modulating (608) the single layer in-phase analog signal and the single layer quadrature analog signal to produce a single layer signal.
  10. The method of Claim 9, wherein the step of decoding comprises demodulating (512) and decoding (514) an upper layer signal from the layered in-phase signal and the layered quadrature signal.
  11. The method of Claim 10, wherein the step of decoding comprises producing an ideal upper layer signal including an ideal in-phase upper layer signal and an ideal quadrature upper layer signal from the decoded upper layer signal and subtracting (538) the ideal in-phase upper layer signal and the ideal quadrature upper layer signal from the layered in-phase signal and the layered quadrature signal, respectively, to produce the single layer in-phase signal and the single layer quadrature signal.
  12. The method of Claim 11, wherein the step of decoding further comprises delaying (534, 536) the layered in-phase signal and the layered quadrature signal to synchronize the subtraction.

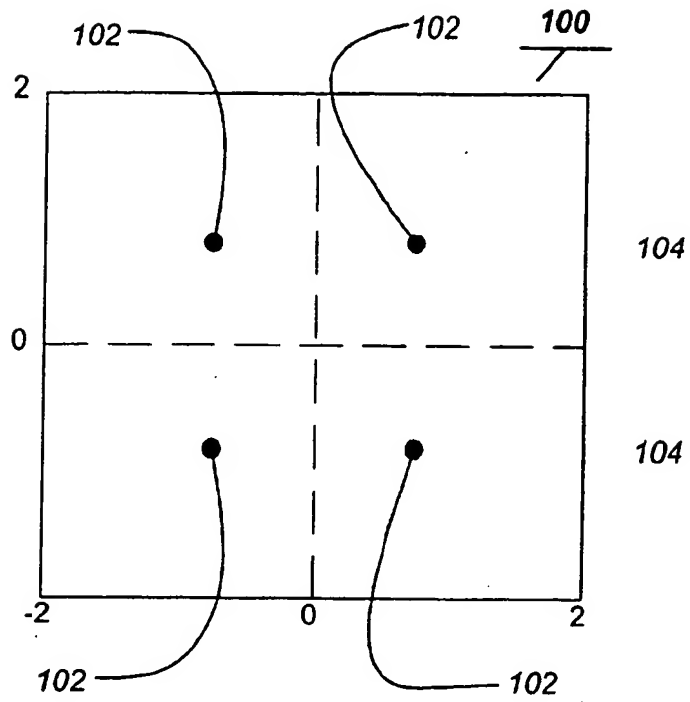


FIG. 1A

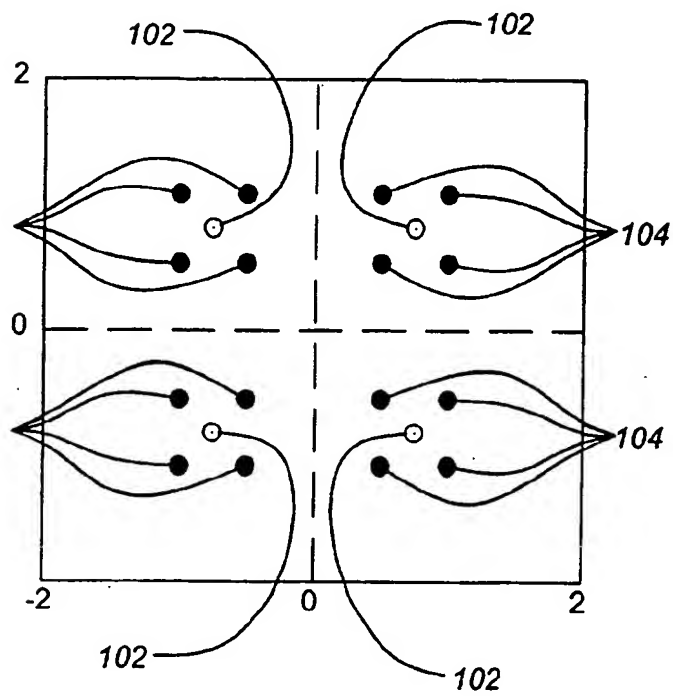


FIG. 1B

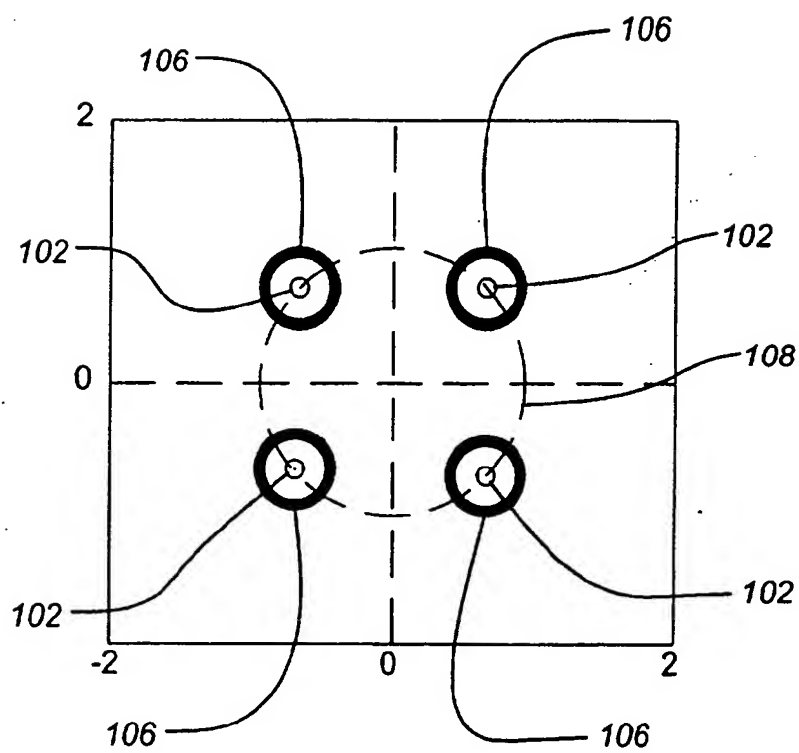


FIG. 1C



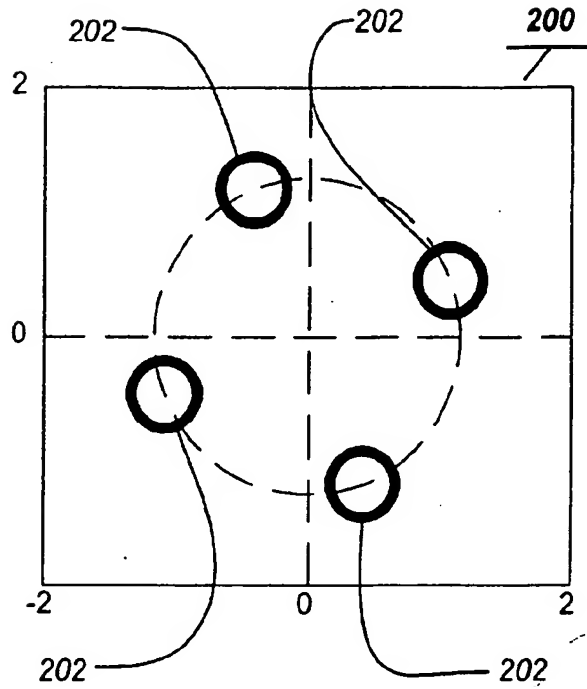


FIG. 2A

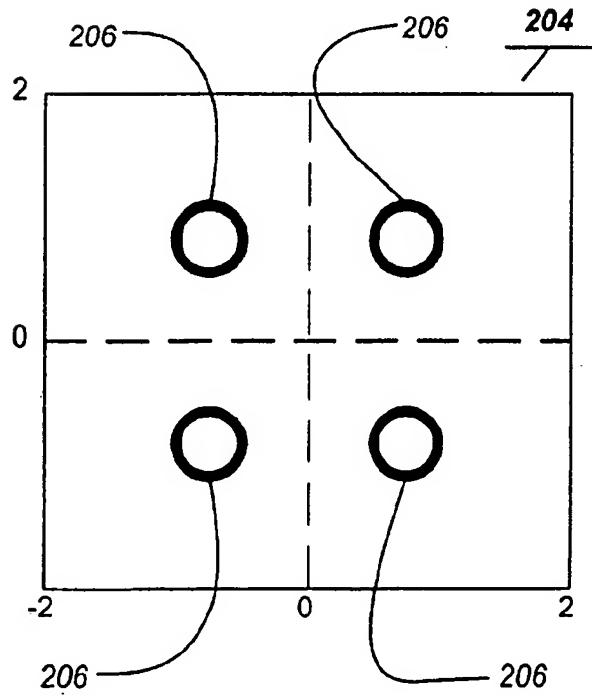
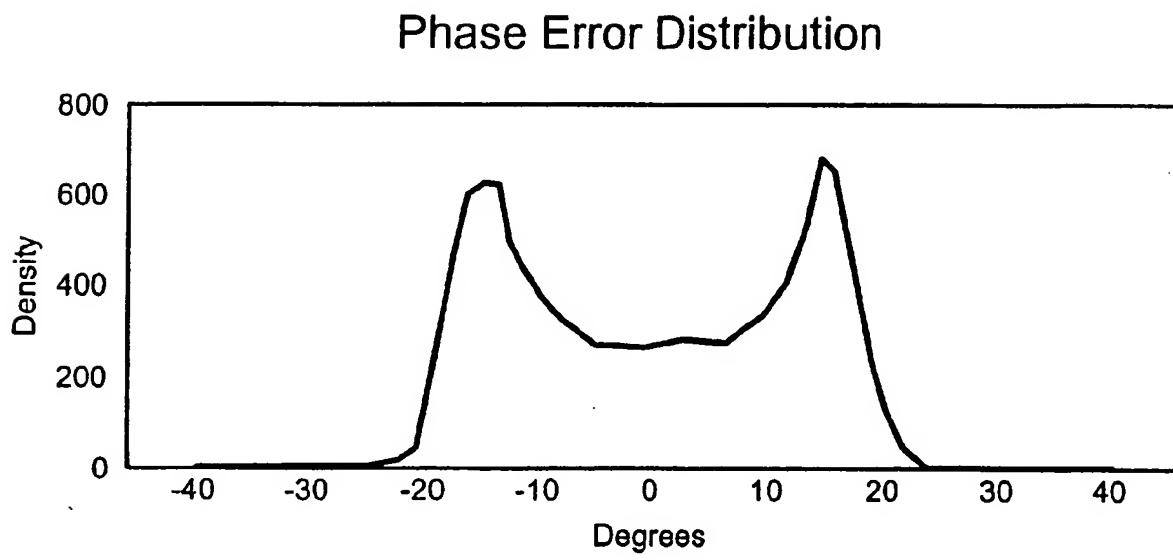


FIG. 2B



*FIG. 2C*

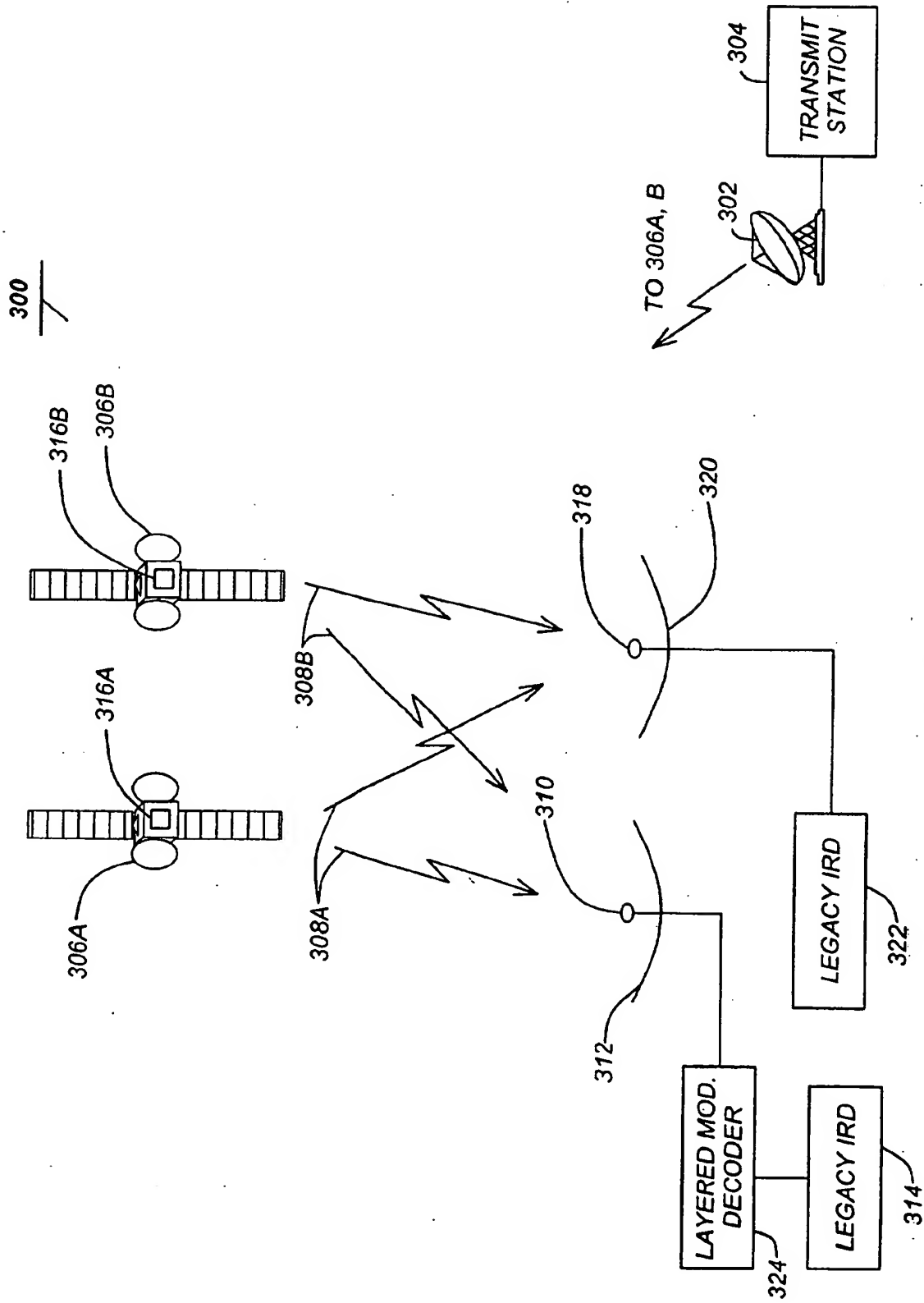


FIG. 3

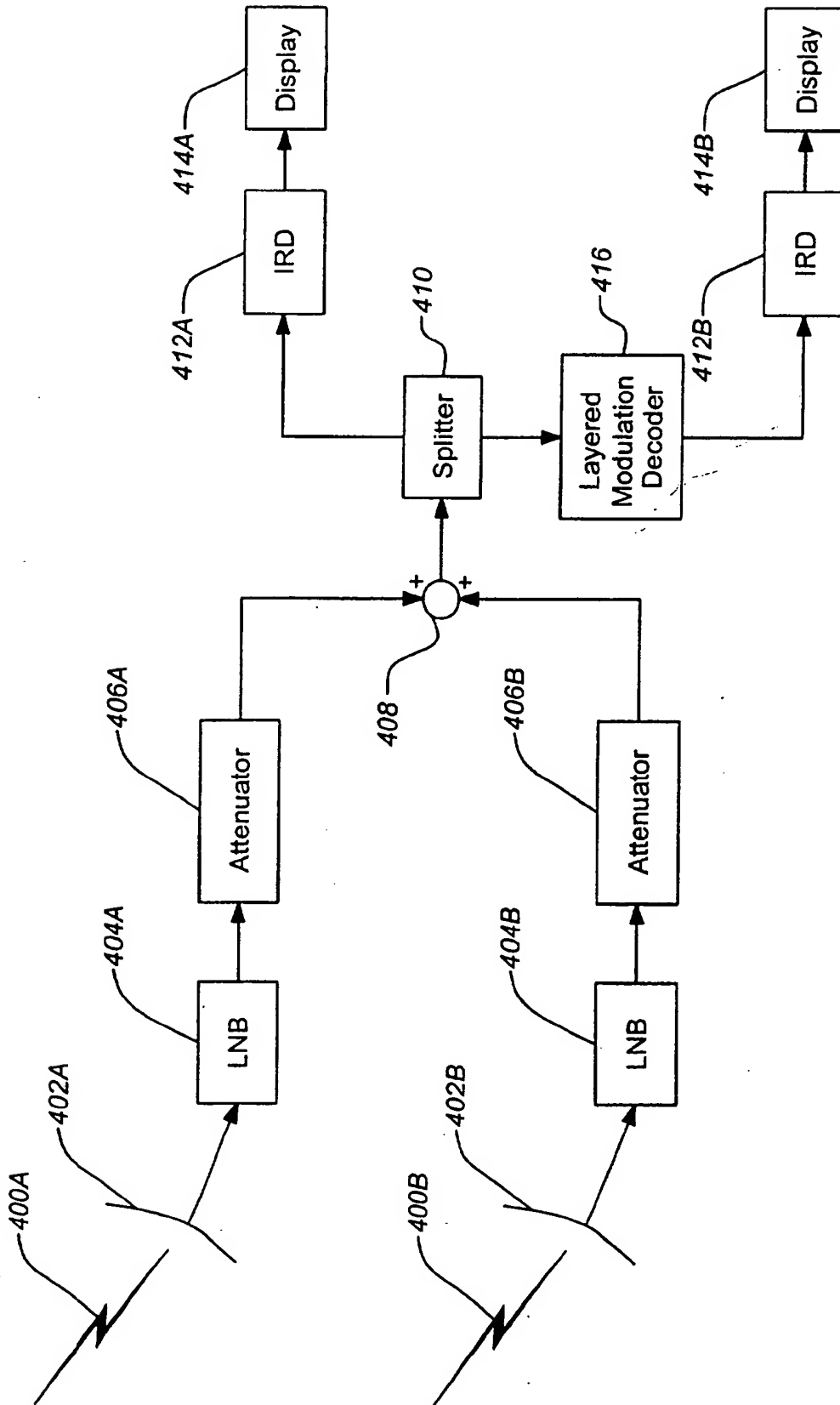


FIG. 4

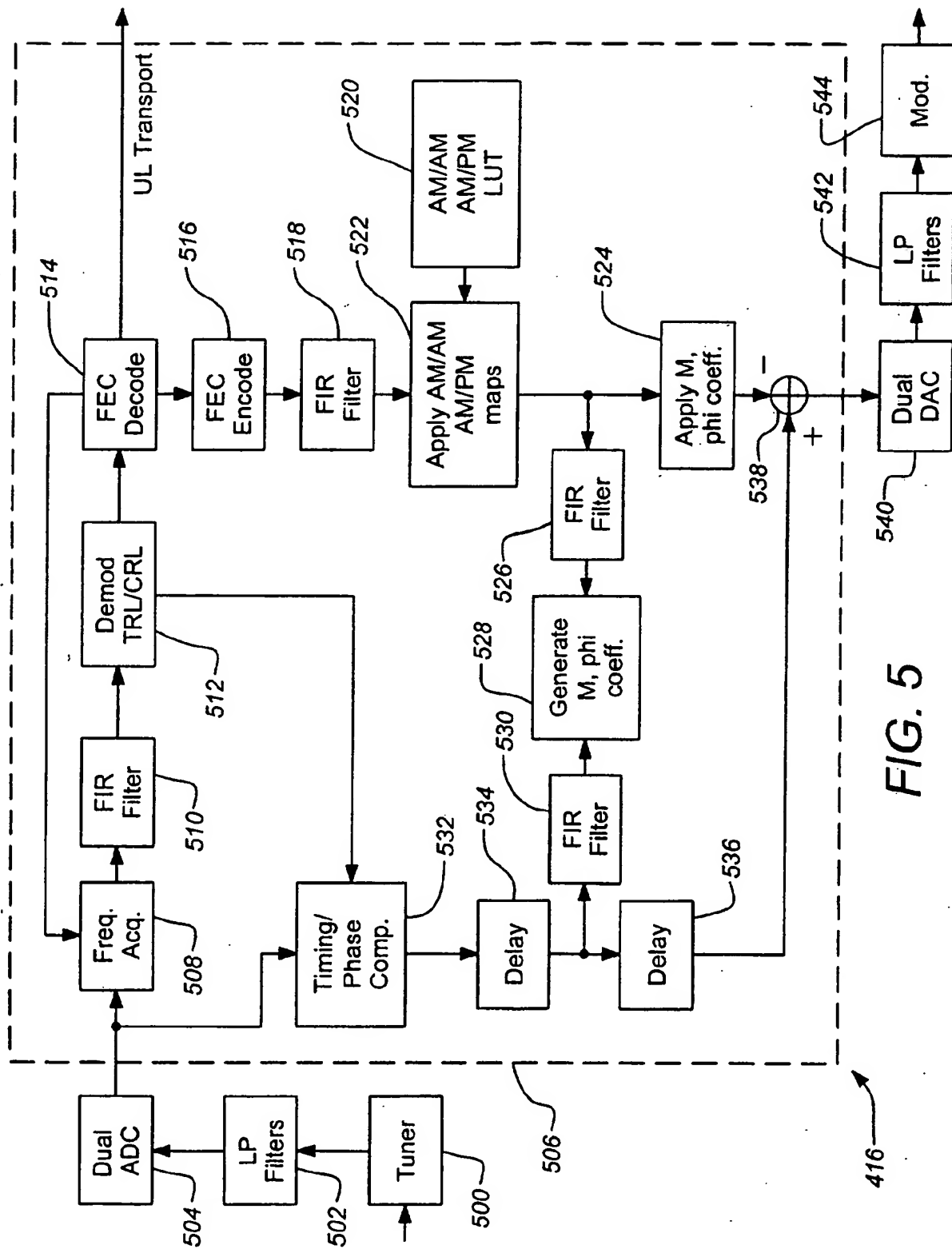


FIG. 5

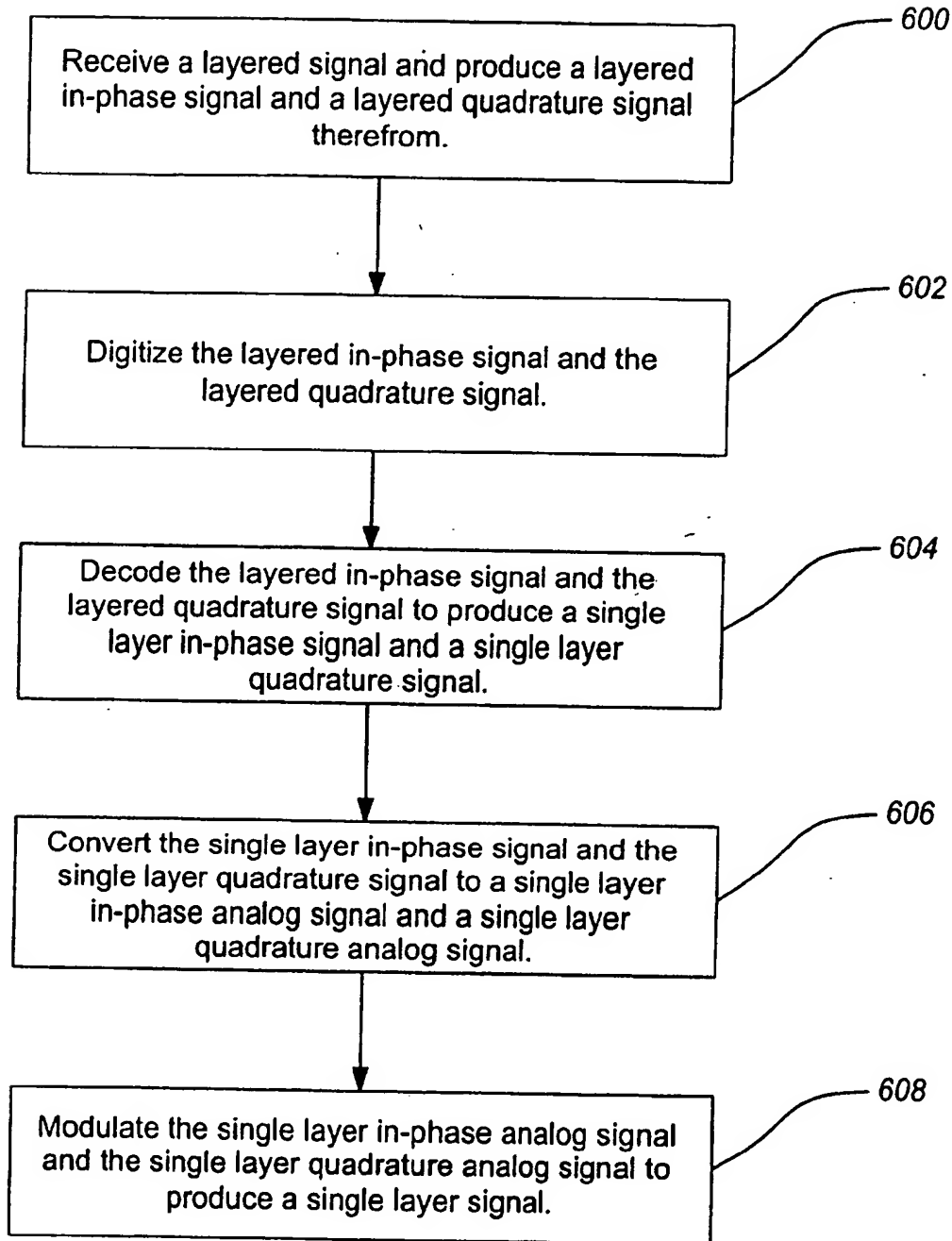


FIG. 6



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- Furuya, Tittany S.  
Los Angeles, California 90066 (US)
- Hilmes, Philip S.  
Santa Monica, California 90405 (US)
- Santoru, Joseph  
Agoura Hills, California 91301 (US)

(30) Priority: 05.02.2002 US 68039

(71) Applicant: Hughes Electronics Corporation  
El Segundo, California 90245-0956 (US)

(74) Representative: Grünecker, Kinkeldey,  
Stockmair & Schwanhäusser Anwaltssozietät  
Maximilianstrasse 58  
80538 München (DE)

(72) Inventors:  
• Chen, Ernest C.  
San Pedro, California 90732 (US)

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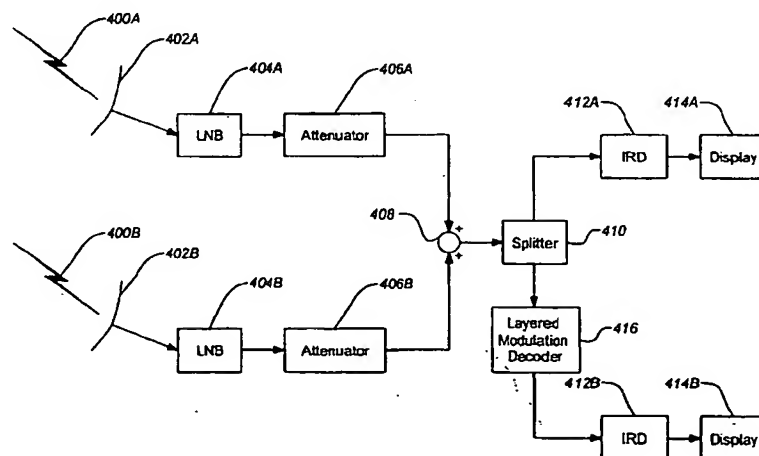


FIG. 4



European Patent  
Office

# EUROPEAN SEARCH REPORT

Application Number  
EP 03 00 2177

| DOCUMENTS CONSIDERED TO BE RELEVANT  |   |  |   |
|--|---|--|---|
| Category   | Citation of document with indication, where appropriate, of relevant passages   | Relevant to claim                                | CLASSIFICATION OF THE APPLICATION (InLCI.7) |
| X  | MAZZINI G: "Power division multiple access"<br>UNIVERSAL PERSONAL COMMUNICATIONS, 1998.<br>ICUPC '98. IEEE 1998 INTERNATIONAL CONFERENCE ON FLORENCE, ITALY 5-9 OCT. 1998, NEW YORK, NY, USA, IEEE, US, 5 October 1998 (1998-10-05), pages 543-546, XP010314962<br>ISBN: 0-7803-5106-1<br>* abstract *<br>* sections I, II and IV * | 1-6,8-12   | H04H1/00<br>H04L27/34<br>H04B7/185          |
| Y  | ---   | 7  |   |
| X  | US 5 430 770 A (ABBEY DUANE L)<br>4 July 1995 (1995-07-04)<br>* column 1, paragraph 1 *<br>* column 2, line 11 - line 13 *<br>* column 2, line 15 - line 40 *<br>* column 2, line 46 - line 51 *<br>* column 4, line 65 - column 5, line 4 *<br>* figures 2,3 *   | 1-6,8-12   |   |
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Application Number  
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